

Application No. 09/964,221  
SD-7650

### AMENDMENTS TO THE CLAIMS

• Please amend the claims as follows:

1. (cancelled)
2. (currently amended): The method of claim ~~[[1]]~~ 42, wherein ~~the steps of~~ applying the transformation generate encrypted data that is indistinguishable from Gaussian white noise.
3. (currently amended): The method of claim ~~[[1]]~~ 42, wherein ~~the steps of~~ applying the transformation comprises normalizing the measurements.
4. (currently amended): The method of claim 3 wherein the normalizing step comprises centering and scale-transforming the measurements so that the mean is zero and the standard deviation is 1 ~~are fixed~~.
5. (currently amended): The method of claim ~~[[1]]~~ 42, wherein ~~the steps of~~ applying the transformation comprises permuting the measurements.
6. (original): The method of claim 5 wherein permuting comprises employing an item of secret information.
7. (original): The method of claim 6 wherein permuting comprises employing a passcode.
8. (original): The method of claim 7 wherein permuting additionally comprises employing the results of a hash function of the passcode.
9. (currently amended): The method of claim ~~[[1]]~~ 42, wherein ~~the steps of~~ applying the transformation comprises employing a linear transformation.

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10. (currently amended): The method of claim 9 wherein employing a linear transformation comprises employing a  $n \times m$  linear transformation matrix,  $W$ , with orthonormal columns, where  $n \leq m$ .
11. (original): The method of claim 10 wherein employing a linear transformation comprises employing a normalized Hadamard matrix.
12. (original): The method of claim 10 wherein employing a linear transformation comprises employing a normalized matrix comprising Fourier coefficients with a cosine / sine basis.
13. (currently amended): The method of claim 9 wherein the employing a linear transformation comprises permuting the linearly transformed data.
14. (original): The method of claim 13 wherein permuting the linearly transformed data comprises employing an item of secret information.
15. (original): The method of claim 14 wherein permuting the linearly transformed data comprises employing a passcode.
16. (original): The method of claim 15 wherein permuting the linearly transformed data additionally comprises employing the results of a hash function of the passcode.
17. (currently amended): The method of claim ~~[[1]]~~ 42, wherein the measurements comprise biometric data.
18. (original): The method of claim 17 wherein the measurements comprise measurements selected from the group consisting of fingerprints, retinal scans, facial scans, hand geometry, spectral data, and voice data.

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19. (currently amended): The method of claim 17, additionally comprising the step of placing ~~the reference template~~ biometric data on a smart card to be carried by an individual from whom the biometric data was taken.
20. (currently amended): The method of claim [[1]] 42, wherein the measurements comprise spectral data.
21. (original): The method of claim 20 wherein the measurements comprise weapons spectra.
22. (currently amended): The method of claim [[1]] 42, additionally comprising the step of adding pseudo-dimensions to the measurements to enhance concealment.
- 23-41. (Cancelled)
42. (New): A method of authenticating an item, the method comprising:
- a) acquiring an unencrypted reference signal,  $Y_{ref}$ , of an item; where  $Y_{ref}$  is an  $n$ -dimensional row vector  $\{Y_1(ref), Y_2(ref), \dots, Y_n(ref)\}$  of unencrypted reference measurements subject to measurement error;
  - b) applying a transformation to the unencrypted reference signal,  $Y_{ref}$ , to generate an encrypted reference signal,  $U_{ref}$  of the item; where  $U_{ref}$  is an  $n$ -dimensional row vector  $\{U_1(ref), U_2(ref), \dots, U_n(ref)\}$  of encrypted reference measurements;
  - c) acquiring an unencrypted new signal,  $Y_{new}$ , of the item, where  $Y_{new}$  is an  $n$ -dimensional row vector  $\{Y_1(new), Y_2(new), \dots, Y_n(new)\}$  of unencrypted new measurements subject to measurement error;

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- d) applying the transformation to the unencrypted new signal,  $Y_{new}$ , to generate an encrypted new signal,  $U_{new}$ , of the item; where  $U_{new}$  is an  $n$ -dimensional row vector  $\{U_1(new), U_2(new), \dots, U_n(new)\}$  of encrypted new measurements;
- e) calculating an unencrypted Euclidean distance metric,  $E$ , between the unencrypted new and reference signals,  $Y_{new}$  and  $Y_{ref}$ ;
- f) calculating an encrypted Euclidean distance metric,  $D$ , between the encrypted new and reference measurements,  $U_{new}$  and  $U_{ref}$ ;
- g) comparing the encrypted Euclidean distance metric,  $D$ , to a critical value,  $D_{crit}$ , and;
- e) if  $D < D_{crit}$ , then deciding that the item is authentic;
- wherein the transformation has the property that the unencrypted Euclidean distance metric,  $E$ , is equal to the encrypted Euclidean distance metric,  $D$ .

43. (new) The method of claim 42, wherein:

$$E = \sum_{j=1}^n (Y_j(\text{new}) - Y_j(\text{reference}))^2;$$

and

$$D = \sum_{j=1}^m (U_j(\text{new}) - U_j(\text{reference}))^2;$$

wherein  $m \leq n$ .

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44. (new) The method of claim 42, wherein:

$$E = \sum_{j=1}^n \frac{(Y_j(\text{new}) - Y_j(\text{reference}))^2}{Y_j};$$

and

$$D = \sum_{j=1}^m \frac{(U_j(\text{new}) - U_j(\text{reference}))^2}{Y_j};$$

wherein  $m \leq n$ ; and the denominator can be either  $Y_j(\text{new})$  or  $Y_j(\text{reference})$ .

45. (new) The method of claim 42, wherein:

$$E = \sum_{j=1}^n (\sqrt{Y_j}(\text{new}) - \sqrt{Y_j}(\text{reference}))^2;$$

and

$$D = \sum_{j=1}^m (\sqrt{U_j}(\text{new}) - \sqrt{U_j}(\text{reference}))^2;$$

wherein  $m \leq n$ .

46. (new) The method of claim 10, wherein the elements,  $w_{ij}$ , of the transformation matrix,  $\mathbf{W}$ , have the following properties:

$$\sum_{i=1}^n w_{ij}^2 = 1, \forall j;$$

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$$w_{i1} = \frac{1}{\sqrt{n}}, \forall i; \text{ and}$$

$$\sum_{i=1}^n w_{ij} = 0, \forall j=1 \text{ with } w_{i1} = K, \forall i.$$

47. (new) The method of claim 42, wherein applying the transformation to the unencrypted signal,  $Y$ , comprises:

$$Y \rightarrow Y_{\pi} \rightarrow Y_{\pi} \cdot W \rightarrow (Y_{\pi} \cdot W)_{\sigma}$$

wherein:

$\pi$  is a *permutation* of the integers from  $1:n$  that is unique to a particular verification class;

$W$  is an  $n \times m$  transformation matrix with orthonormal columns that transforms the vector,  $Y$ , of measurements to  $m \leq n$  latent variables; and

$\sigma$  is a *permutation* of the integers from  $1:m$  that is unique to the particular verification class; and

wherein the verification class comprises one or more physical units, items, or individuals.